

Entity Verification improves client data & reduces risk.

MANAGING & VERIFYING ENTITY DATA ARE DIFFICULT

Increased Know Your Customer (KYC) requirements and the constant flow of new regulation have dramatically increased the demand for accurate, verified and timely entity data.

At the same time, it has become increasingly complex to collect accurate records across multiple jurisdictions and regulatory regimes, not to mention costly. Lack of unique identifiers, inconsistent naming conventions and limited attribute coverage have led to unverified, stale and, often, duplicate records.

Poor-quality data leaves firms exposed to legal, regulatory and reputational risks.

BLOOMBERG OFFERS DATA VERIFICATION & ENRICHMENT

Entity Verification leverages Bloomberg's robust legal entity database to help you review and verify the entity information of your clients and counterparties, fill in missing data and enrich existing records. Bloomberg is an industry leader in timely and accurate legal entity data. We offer extensive coverage, with highly granular entity data, for almost four million public and private companies, funds, government agencies and municipalities. We undertake extensive research to maintain an accurate, near-real-time picture of how corporate structures change, and we monitor the impact of new regulations on entity data requirements.

HOW BLOOMBERG CAN HELP

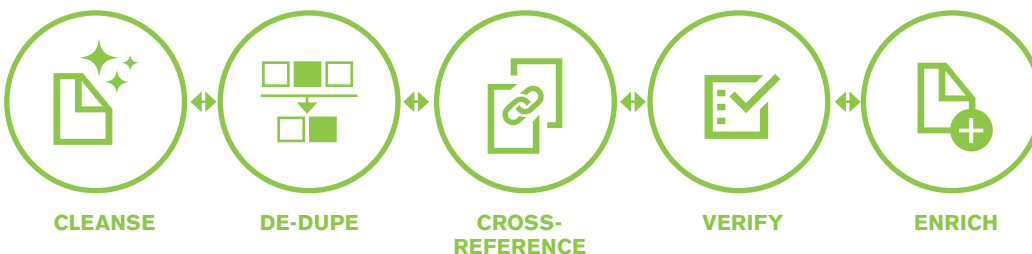
We can cleanse and normalize entity data files by de-duping internal records while verifying and enriching them with additional information from regulators, registries and exchanges. Using Entity Verification allows you to develop a universe of clean entity data to help you comply with KYC, regulatory reporting or remediation requirements.

ROBUST DATA SETS

- Reference data across 4 million entities
- Coverage of public companies, private companies, credit issuers, hedge funds, mutual funds, sovereign governments/municipalities
- Mapping to industry and regulatory identifiers, regulatory oversight status, licenses and listing authorization data

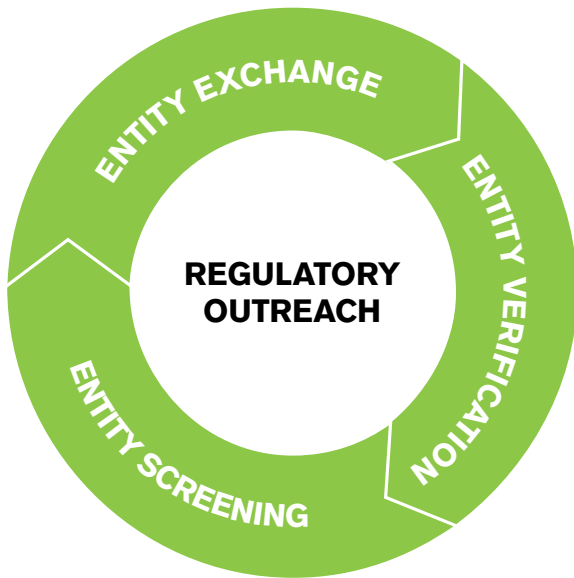
DATA MANAGEMENT

- **Cleanse** – cleanse and normalize records
- **De-dupe** – duplicate records identified and consolidated
- **Cross-reference** – to LEI, GIIN (FATCA) and other regulator identifiers
- **Verify** – name, address, regulatory oversight status, listing authority, financial licenses verified and monitored
- **Enrich** – append more than 100 fields of additional entity information



HOLISTIC APPROACH TO ENTITY MANAGEMENT

Use Bloomberg's Entity Exchange to conduct secure, efficient outreach to clients. Manage and share client data and documents, then leverage Entity Verification to verify, refresh and monitor this information. Expand your data collection and knowledge with Entity Screening. Uncover ultimate beneficial ownership, associated entities and C-level executives, while screening for PEPS, sanctions and adverse media.



ENTITY EXCHANGE

- Entity information management platform
- Policy agnostic
- Accelerate on boarding process
- Control over sensitive information
- Transparency into progress and request fulfillment

ENTITY VERIFICATION

- Verify basic entity reference data
- Fill out incomplete customer profiles
- Annual maintenance
- Highlight changes in information or status
- Synchronize with internal client databases

ENTITY SCREENING

- Ultimate beneficial owners
- PEPs
- Government officials
- Sanctions
- Watchlists
- Adverse media
- Monitoring
- Audit trail
- Detailed reporting
- Team workflows
- Aggregated results

BLOOMBERG FOR ENTERPRISE

To succeed today, financial institutions must respond to challenges that are not addressed by traditional approaches. They require world-class solutions that integrate people, processes, information and technology for the front office, middle office and operations. Bloomberg partners with these institutions to protect and capitalize on data, manage risk, deliver transparency and control costs. Through enterprise-level expertise and three decades of deep industry experience, Bloomberg creates real value through the use of innovative technology that turns data into a strategic asset.

TAKE THE NEXT STEP

Learn more about our solution for efficiently establishing new trading relationships. Visit bloombergjp.com or reach us at bloomberg.net.

BEIJING
+86 10 6649 7500

HONG KONG
+852 2977 6000

NEW YORK
+1 212 318 2000

SINGAPORE
+65 6212 1000

DUBAI
+971 4 364 1000

LONDON
+44 20 7330 7500

SAN FRANCISCO
+1 415 912 2960

SYDNEY
+61 2 9777 8600

FRANKFURT
+49 69 9204 1210

MUMBAI
+91 22 6120 3600

SÃO PAULO
+55 11 2395 9000

TOKYO
+81 3 3201 8900

bloombergjp.com